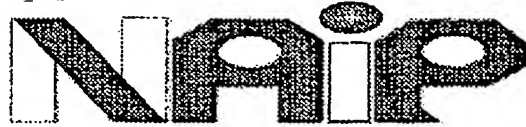


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**Tel.: (571) 272-3628**

**Art Unit: 2111**

**Fax: (571) 273-8300**

**From : Winston Hsu, Registration No. 41,526**

**Serial No.: 10/711,196**

**Attorney Docket No.: WNCPP0003USA4**

**Subject: Information Disclosure Statement (IDS)**

**Total Pages: 33 pages (including cover page)**

**Winston Hsu 2005/12/01**

**WNCPP0003USA4\_D1\_1**

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PTO/SB/21 (09-04)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/711,196	
	Filing Date	09/01/2004	
	First Named Inventor	Kuei-Jung Lee	
	Art Unit	2111	
	Examiner Name	KING, JUSTIN	
Total Number of Pages in This Submission	32	Attorney Docket Number	WNCP0003USA4

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	12/01/2005	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature	<i>Cindy Lin</i>		
Typed or printed name	Cindy Lin	Date	12/01/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (12-04)

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Effective on 12/09/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4918).**FEE TRANSMITTAL**  
**For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 0.00

**Complete if Known**

Application Number	10/711,196
Filing Date	09/01/2004
First Named Inventor	Kuei-Jung Lee
Examiner Name	KING, JUSTIN
Art Unit	2111
Attorney Docket No.	WNCP0003USA4

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: **50-3105** Deposit Account Name: **North America Intellectual Property Corp.**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

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**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

**Total Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**      **Multiple Dependent Claims**

- 20 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_      **Fee (\$)**      **Fee Paid (\$)**

HP = highest number of total claims paid for, if greater than 20

**Indep. Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**

- 3 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets**      **Extra Sheets**      **Number of each additional 50 or fraction thereof**      **Fee (\$)**      **Fee Paid (\$)**

- 100 = \_\_\_\_\_ / 50 = \_\_\_\_\_ (round up to a whole number) x \_\_\_\_\_ = \_\_\_\_\_

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: submission of Information Disclosure Statement

0.00

**SUBMITTED BY**

Signature	<i>Winston Hsu</i>	Registration No. (Attorney/Agent)	41,526	Telephone	302-729-1562
Name (Print/Type)	Winston Hsu	Date	12/01/2005		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 11

**Complete If Known**

Application Number	10/711,196
Filing Date	09/01/2004
First Named Inventor	Kuei-Jung Lee
Art Unit	2111
Examiner Name	KING, JUSTIN
Attorney Docket Number	WNCPO003USA4

## U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	†
		Country Code* Number † Kind Code* (if known)				
	1	TW 518466	01-21-2003		all	+
	2	TW 555298	09-21-2003		all	+

Examiner  
Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND**

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**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Kuei-Jung Lee.

5 Appl. No.: 10/711,196 Filing Date: 09/01/2004  
Examiner: KING, JUSTIN Art Unit: 2111  
Docket No.: WNCPO003USA4 Confirmation No.: 5195

10 Title: PERIPHERAL DEVICE HAVING A PERSONAL DISK USED FOR  
STORING DEVICE DRIVERS AND DRIVING METHOD THEREOF

To: Commissioner for Patents  
P.O. BOX 1450  
Alexandria, VA 22313-1450

15 Subject: Information disclosure statement under 37  
CFR §1.56

20 Dear Sir/Madam,

This is an Information Disclosure Statement in  
accordance with the duty to disclose information  
material to patentability under 37 CFR §1.56. The  
25 applicants wish to make of record the documents listed  
on the accompanying form PTO/SB/08.

Since this IDS is filed before the mailing date of the  
first Office action, consideration of the information  
30 disclosure statement is hereby requested according to 37 CFR  
§1.97(b).

That each item of information contained in the information disclosure statement was first cited in an Office communication mailed on October 18, 2005 for the counterpart Taiwan patent application number 093110686, which are no more  
5 than three months prior to the filing of the information disclosure statement.

The first cited document (TW 518466) provides a peripheral device with embedded driver program and the installing method  
10 thereof, wherein the computer system can get the driver program from the peripheral device with no need for CD or diskette for installation. The present invention is to store the driver program of the peripheral device in the read only memory. When installing the peripheral device, the driver program can be  
15 transmitted to the computer system from the peripheral device with no need for CD or diskette to drive the peripheral device.

The second cited document (TW 555298) provides a small type memory device with built-in driver. The memory device includes  
20 a memory and a blue tooth transceiver module or wireless (IEEE802.11b, etc) transceiver module. In addition to providing normal memory functions, the memory also includes a blue tooth driver program utilized for controlling the blue tooth transceiver to perform short range wireless  
25 transmission to immediately transmit information stored in the memory, or to receive information for subsequent storage in the memory. Additionally, the memory can also include other common driver programs to allow a computer system to automatically detect and install the driver program. In this  
30 way, the user does not need to also carry an optical or magnetic disc. The invention provides a portable memory having short range transmission abilities for flexible plug and play to

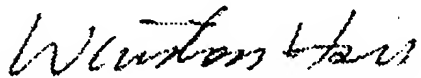
thereby increase portable convenience and effectiveness.

According to the requirement set forth in 37 CFR §1.98,  
a copy of each cited reference and its English language  
5 abstract is included to fulfill the concise explanation  
requirement.

It is respectfully requested that the examiner can  
consider the documents listed on the accompanying form  
10 PTO/SB/08 and that it be made of record in the application.  
The applicants sincerely hope that the examiner initials the  
cited references on the form and that a copy of the initialed  
form be sent to the applicants with the next communication  
from the examiner.

15

Sincerely yours,



Date: December 01, 2005

20 Winston Hsu, Patent Agent No. 41,526  
P.O. BOX 506, Merrifield, VA 22116, U.S.A.  
Voice Mail: 302-729-1562  
Facsimile: 806-498-6673  
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25

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talk to me. (The time in D.C. is 13 hours behind the Taiwan  
time, i.e. 9 AM in D.C. = 10 PM in Taiwan.)

30